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5 The effects of word identity, case, and SOA on word priming in a subliminal context.

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7 Hayden J. Peel¹, Kayla A. Royals¹, Philippe A. Chouinard^{1*}

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9 ¹ Department of Psychology and Counselling, School of Psychology and Public Health, La Trobe University,

10 Victoria, Australia.

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12 * Corresponding author:

13 Philippe A. Chouinard, Ph.D.

14 Senior Lecturer of Psychology

15 Applied Science 2 Building, Room 3.15

16 La Trobe University, Bendigo Campus

17 Bendigo, Victoria, 3550, Australia

18 Telephone: +61 3 5444 7028

19 E-mail: p.chouinard@latrobe.edu.au

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23

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27

28 **Abstract**

29

30 It is widely assumed that subliminal word priming is case insensitive and that a short SOA (< 100 ms)
31 is required to observe any effects. Here we attempted to replicate results from an influential study with
32 the inclusion of a longer SOA to re-examine these assumptions. Participants performed a semantic
33 categorisation task on visible word targets that were preceded either 64 or 192 ms by a subliminal prime.
34 The prime and target were either the same or different word and could appear in the same or different
35 case. We confirmed the presence of subliminal word priming (same word < different word reaction
36 times). The word priming effect did not differ when case was the same or different, which supports case
37 insensitive word priming. However, there was a general facilitation effect driven by case (same case <
38 different case). Finally, there was a significant difference between the two SOA conditions; however,
39 there were no interactions between SOA and any other factor, demonstrating that subliminal priming
40 did not differ between short and long SOAs. The results demonstrate that word priming is case
41 insensitive but that there is nevertheless an overall facilitation when words, regardless if they are
42 repeated or not, are presented again in the same case. This facilitation in case may reflect modularity in
43 the low-level processing of the visual characteristics of words.

44 **Introduction**

45

46 A long-standing question in cognitive science is the extent to which stimuli are processed when we do
47 not consciously perceive them. More specifically, are subliminal stimuli confined to a low-level sensory
48 analysis or are they also processed at a higher semantic level? Word stimuli have been extensively
49 studied in this regard and have been shown to influence behaviour despite being visually masked
50 (Kouider & Dehaene, 2007). Visual masking refers to a paradigm in which briefly flashed (< 50 ms)
51 stimuli are suppressed from the participant's awareness by presenting other stimuli that act as noise in
52 close spatial and temporal proximity. The influence that subliminally presented stimuli has on
53 subsequent processing can be tested by examining the priming effect in a semantic decision task.

54 For example, after the presentation of a masked word (the prime), a participant might be
55 instructed to categorise a visible word (the target) as belonging to either one of two semantic categories
56 (e.g. 'natural' for the word 'lion', or 'manmade' for the word 'radio'). If the experiment has a repetition-
57 priming design, then priming is inferred when participants are faster to categorise the target when the
58 prime is the same word compared to when the prime is a different word from the opposite semantic
59 category (Dehaene et al., 2001). Alternatively, if the experiment has a category-priming design, then
60 priming is inferred when the target is categorised faster when the prime and target are different words
61 but belong to the same semantic category compared to when they are not. Category-priming designs
62 are widely used in many decision tasks, including, but not limited to, male / female first name (Draine
63 & Greenwald, 1998; Greenwald, Draine, & Abrams, 1996) and positive / negative valence word
64 (Abrams & Greenwald, 2000; Gaillard et al., 2006; Greenwald et al., 1996; Klauer, Eder, Greenwald,
65 & Abrams, 2007) classification tasks.

66 Subliminal word priming experiments in the past 20 years seemed to have treated two key
67 findings from two landmark studies as seldomly questioned working assumptions, which have not been
68 verified or validated in a long time yet continue to influence experimental designs. The first is that
69 subliminal word priming is case-insensitive (Dehaene et al., 2001) and the second is that a stimulus-
70 onset-asynchrony (SOA) of less than 100 ms between the prime and the target is required for subliminal
71 word priming effects to occur (Greenwald et al., 1996). The present study sought to re-examine these

72 two widely adopted notions by repeating similar experiments as in the Dehaene et al. (2001) study but
73 with typical as well as longer SOA relative to most word masking studies. In terms of the latter, there
74 have been the occasional study testing and reporting subliminal priming with SOAs longer than 100 ms
75 (Armstrong & Dienes, 2013; Berkovitch & Dehaene, 2019; Kiefer & Spitzer, 2001; Ortells, Kiefer,
76 Castillo, Megías, & Morillas, 2016).

77

78 *Case-independent word processing*

79

80 In a word priming study, Dehaene et al. (2001) demonstrated that the mechanisms of subliminal word
81 priming could be case-insensitive. The participants' task involved classifying visible target words as
82 either 'natural' or 'manmade'. Priming was examined by having the prime and target words (a) repeated
83 (i.e., same word) or non-repeated (i.e., different word), and (b) presented in the same or different case.
84 The results demonstrated that reaction times (RT) were faster when the prime and target were repeated
85 compared to when they were not. In addition, this effect was reported to be similar in magnitude even
86 when the case differed between the prime and the target. Namely, participants seemed to have correctly
87 encoded word identity irrespective of how the words appeared according to their case – a phenomenon
88 referred to as case-independent priming. Neuroimaging with functional magnetic resonance imaging
89 (fMRI) further revealed that the subliminal words elicited neural responses in high-level visual areas
90 analogous to those that are engaged in processing the meaning of words. Specifically, responses were
91 observed in an area of the left posterior fusiform gyrus called the visual word form area (VWFA). This
92 result was highly influential and showed that subliminally presented words could reach an advanced
93 stage of processing.

94 Reading involves the rapid integration of several stages of processing, including the visual
95 processing of the physical appearance of words (i.e. the letter contours and shapes that form impressions
96 on the retina), the pre-lexical orthographic and phonological decoding of letter strings, and the lexical-
97 semantic processing of word identity (Bentin, Mouchetant-Rostaing, Giard, Echallier, & Pernier, 1999;
98 Carreiras, Armstrong, Perea, & Frost, 2014). Within this hierarchy, a broad distinction can be made
99 between 'perceptual-orthographic' (low-level) and 'lexical-semantic' (high-level) processes (Carreiras

100 et al., 2014). Typically, the perceptual-orthographic features of words do not influence lexical-semantic
101 recognition. This might be because the goal of reading is usually to access the semantic content of words
102 and does not depend on letter *font* or CASE except when italicised / capitalised letters signal something
103 special about the word. For example, literate adults can efficiently recognise the words ‘rage’ and
104 ‘RAGE’ as having the same meaning despite the letters having different shapes, but if an uppercase
105 ‘RAGE’ was encountered mid-sentence then it has been emphasised and is read differently. The ability
106 to recognise words meaning despite wide variability in physical appearance is sometimes referred to as
107 the perception of the ‘word form unit’ (Cohen & Dehaene, 2004; Grainger, Rey, & Dufau, 2008;
108 McCandliss, Cohen, & Dehaene, 2003). The response properties of the VWFA appear to reflect this
109 stage of invariant word processing (Dehaene & Cohen, 2011; Dehaene, Le Clec’H, Poline, Bihan, &
110 Cohen, 2002; McCandliss et al., 2003). Thus, case-independent priming and the corresponding neural
111 activation in the VWFA demonstrate that subliminal words can be processed at the level of the word
112 form unit (Dehaene et al., 2001; McCandliss et al., 2003).

113 Many researchers seem to assume that the analysis of subliminal words operates exclusively at
114 a high-level and do not consider including the appropriate controls to verify if priming is case
115 independent. For instance, some studies present upper-case primes and lower-case targets (Abrams,
116 2005; Abrams & Greenwald, 2000; Draine & Greenwald, 1998; Klauer et al., 2007; Ortells et al., 2016;
117 Van den Bussche & Reynvoet, 2007), others present lower-case primes and upper-case targets (Kouider,
118 Dehaene, Jobert, & Le Bihan, 2006), and some present both in the same case (Armstrong & Dienes,
119 2013; Diaz & McCarthy, 2007; Klauer et al., 2007; Klinger, Burton, & Pitts, 2000). Although all these
120 studies provided suitable controls to verify that the prime was presented outside of awareness, none
121 incorporated a proper control to verify case-independent word priming. Namely, no comparisons could
122 be made between same case and different case.

123 Equally problematic, studies that did include the conditions necessary to make these
124 comparisons did not provide proper verification that the primes were presented outside of awareness
125 (Jacobs, Grainger, & Ferrand, 1995; Perea Jiménez, & Gómez, 2014; Perea, Vergara-Martínez, &
126 Gomez, 2015). Instead, they asked participants at the conclusion of the study if they thought they saw
127 the primes. This is not a stringent enough measure of prime visibility (Kouider & Dehaene, 2007).

128 Effective masking is difficult to achieve, and it could be the case that participants could have seen the
129 prime through the mask. Thus, case-insensitive priming at a subliminal level is perhaps not as well
130 established as many in the discipline might believe. In the present investigation, we sought to determine
131 if priming is still case-sensitive under genuinely subliminal conditions. Therefore, the first objective of
132 this study was to investigate the effects of case in a semantic decision task by repeating methods like
133 those in the Dehaene et al. (2001) study while providing verification that the primes were subliminally
134 presented. We urge all masking studies to consider some form of verification as to whether participants
135 were aware of seeing primes – especially if the intent is to present primes outside of conscious
136 awareness. Otherwise, inferences about subliminal processing are limited and may not necessarily
137 involve the same word processing mechanisms as those examined in this study, which we were able to
138 determine was in a subliminal context, or in the original Dehaene et al. (2001) study that has provided
139 a thorough examination of the underlying neural correlates.

140

141 *Stimulus-onset-asynchrony between the prime and target*

142

143 The length of SOA in priming experiments is an important consideration given they can not only
144 influence the results but they can do so in a manner that is different across tasks, such as category and
145 repetition-priming experiments (Ferrand, 1996; Stolz, Besner, & Carr, 2005). In the subliminal
146 literature, Greenwald et al. (1996) published a study that has been seminal in guiding later experimental
147 designs in terms of selecting SOAs. Participants classified target words as corresponding to
148 unambiguously male (e.g., Mike) or female (e.g., Sarah) first names, which were preceded by a
149 congruent (e.g., ‘David’ prime – ‘Mike’ target) or an incongruent (e.g., ‘Sarah’ prime – ‘Mike’ target)
150 prime. The authors varied the SOA from 67 to 400 ms and found that subliminal word priming was
151 short-lived and deteriorated rapidly at SOAs longer than 100 ms. Therefore, they concluded that “the
152 target word must occur within about 100 milliseconds of the prime” (pg. 1699) to observe any
153 subliminal effects. This notion has since been widely adopted in many subliminal priming experiments
154 and is not restricted to category-priming designs specifically. Nevertheless, a few studies have since
155 examined and observed category-priming effects with SOAs longer than 100 ms. For example, studies

156 by Kiefer and Spitzer (2001) and Ortells, Kiefer, Castillo, Megías, and Morillas (2016) demonstrated
157 word priming effects with both short (67 ms) and long (200 ms) SOAs. In addition, Armstrong and
158 Dienes (2013) also observed effects in a noun decision-making task with a minimum SOA of 166 ms.
159 More recently, Berkovitch and Dehaene (2019) found subliminal syntactic word priming with an SOA
160 of 133 ms. Whether or not an SOA greater than 100 ms can likewise result in priming in a truly
161 subliminal repetition-priming experiment has not been tested recently. Therefore, the second objective
162 of the present investigation was to test if effects associated with the subliminal prime can be observed
163 with an SOA greater than 100 ms in a repetition-priming design under a subliminal context.

164

165 *Study aims*

166

167 The aims of this study were to clarify two aspects of subliminal word priming. First, we aimed to
168 examine if subliminal repetition-priming is case-sensitive, which has been overlooked since the
169 Dehaene et al. (2001) study. We repeated the paradigm employed by Dehaene et al. (2001) by
170 manipulating the congruency of case between prime and target to test this. If word case is irrelevant to
171 the processing of subliminal primes, then priming effects should be comparable between same-case and
172 different-case trials. Second, we aimed to examine if repetition-priming is undetectable with an SOA
173 longer than 100 ms, which the earlier category-priming experiments by Greenwald et al. (1996) suggest
174 and that much subsequent research has likewise seemed to assume to be true. To test this possibility,
175 we had two SOAs: 64 ms and 192 ms. If the influence of the subliminal prime is short-lived, then we
176 would expect to observe priming effects at the shorter SOA only – as demonstrated originally by the
177 Greenwald et al. (1996) study.

178

179

180 **Methods**

181

182 *Overview*

183

184 Participants completed four tasks. A naming task was followed by a recognition task as in the Dehaene
185 et al. (2001) study. The other two tasks examined the word priming effect at both short (64 ms) and
186 long (192 ms) SOAs. The order of the tasks was counterbalanced, such that participants either
187 completed the naming and recognition tasks first, followed by the long-SOA priming task, and finished
188 with the short-SOA priming task; or, participants completed the short-SOA priming task, followed by
189 the long-SOA priming task, and finished with the naming and recognition tasks.

190

191 *Participants*

192

193 Twenty right-handed, native English speakers ($M_{age} = 24.10$ years, 7 males) participated in the
194 experiment. None of the participants reported any history of neurological or psychiatric disorder and all
195 gave written informed consent prior to participation. The study was conducted in accordance with the
196 Declaration of Helsinki and approved by the La Trobe Human Ethics Committee (FHEC14R93).

197

198 *Apparatus and materials*

199

200 The four tasks were presented on a 17-inch LCD monitor (1280 x 1024-pixel resolution with a 60 Hz
201 screen refresh rate) with E-Prime 2.0 and 3.0 software (Psychology Software Tools, Sharpsburg, PA,
202 United States). Word stimuli consisted of 240 English nouns between 4 and 5 letters in length. The
203 words were selected according to the SUBTLEX frequency norms, which come from the SUBTLEX_{US}
204 corpus. The corpus is comprised of American subtitles from 8,388 films and television episodes
205 (including a total of 51 million words) (Brysbaert & New, 2009). This corpus was chosen because
206 frequencies based on television and film subtitles are known to be more representative of everyday
207 language than frequencies based on written sources, particularly for short monosyllabic and bisyllabic
208 words of up to 5 letters in length (for a review, see Brysbaert & New, 2009). The 240 words had a mean
209 subtitle log word form frequency (SUBTLEX_{WF}) of 2.64 per million words. Half of the words were
210 natural (e.g. lion, mango) and the other half denoted man-made (e.g. radio, coat) words (See Appendix
211 for full list of words). The words were presented in Courier New font, which subtended 1.4° visual

212 angle in width and 0.4° in height. These 240 words were separated into 2 lists of 120 words (termed
213 ‘set A’ and ‘set B’) each containing 60 natural and 60 man-made words. The words in set A and B were
214 matched according to frequency in everyday language ($t = 0.092, p = .927$), number of syllables ($t =$
215 $0.395, p = .639$), and number of letters ($t = 1.302, p = .194$). For each participant, one list was used for
216 the naming-recognition tasks (e.g. set A) and the other was used (e.g. set B) for the two priming tasks.
217 To avoid practice effects in the priming tasks, the prime and target were presented in a reverse-order so
218 that the prime in one SOA task was presented as the target in the second SOA task. Words forming
219 congruent word pairs were never presented in incongruent pairs, and vice versa. These conditions were
220 also matched for frequency in everyday language, number of syllables, and number of letters. A high-
221 contrast pixel pattern which subtended 2.6° visual angle in width and 1° in height was used to mask the
222 words (see Figure 1).

223

224 *Naming task*

225

226 Participants were presented with 40 visible and 40 masked words presented in a random order. The
227 instructions for this task were as follows. When participants perceived a word, they were asked to name
228 it aloud. Alternatively, they were instructed to say ‘seen’ whenever they thought they saw something
229 (like part of a word, such as a letter or a ‘gist’). If they saw nothing other than the pixel patterns, they
230 were asked to remain silent. Once participants understood the task instructions, the experimenter
231 pressed the space bar to begin the trials. As shown in Figure 1a, the sequence of events for the visible
232 condition was: (1) fixation for 3,000 ms; (2) mask for 80 ms; (3) blank for 80 ms; (4) word stimulus for
233 32 ms; (5) blank for 80 ms; (6) mask for 80 ms; and finally (7) participant response for 2,000 ms. The
234 sequence of events for the subliminal condition was identical, with the exception that the order of masks
235 and blanks was adjusted so that a mask rather than a blank screen immediately flanked the word stimulus
236 (Figure 1b). Verbal responses were recorded with a Shure X2u XLR-to-USB microphone (Shure
237 Incorporated, Chicago, IL, USA).

238

239 *Recognition task*

240

241 Participants completed the recognition task immediately after the naming task. Participants were told
242 that they would be presented with a series of words and that their task was to indicate whether the word
243 had been presented in the previous naming task. We displayed the same 40 visible and 40 masked words
244 as the naming task plus an additional 40 new distractor words one at a time in isolation without any
245 masking. Using a model RB-840 Cedrus response pad (Cedrus Corporation, San Pedro, CA, USA),
246 participants pressed “1” whenever they thought the word had been presented earlier (i.e. “old”) and “2”
247 when they did not (i.e. “new”). Each word was displayed until a response was recorded. Accuracy and
248 RT measures were collected for the three different conditions (i.e., previously visible, previously
249 masked, and not presented earlier).

250

251 *Priming tasks*

252

253 In each of the priming tasks, the participants were instructed to categorise the target words, which were
254 always visible, as either natural or manmade as quickly and accurately as possible. Responses were
255 recorded using the same Cedrus response pad as the recognition task, with the exception that “1”
256 corresponded to ‘natural’ and “2” to ‘manmade’.

257

258 *Short SOA.* The sequence of events for the short-SOA task was as follows: (1) fixation for 3,000 ms;
259 (2) mask for 272 ms; (3) mask for 32 ms; (4) prime for 32 ms; (5) mask for 32 ms; and (6) target until
260 response (Figure 2a). The SOA was therefore 64 ms between the onset of the prime and the onset of the
261 target. On each trial, the prime and target could be either the same word or different words. On different
262 word trials, the words were from the opposite semantic category (e.g., if the prime was natural, then the
263 target was man-made) and had no letter in common at the same location. Additionally, the prime and
264 target could be presented in the same or different case. This meant that there were four possible
265 presentations:

266

267 (1) Non-Repeated Word, Different-Case (e.g. BULL-shoe);

268 (2) Non-Repeated Word, Same-Case (e.g. BEAR-CAKE);

269 (3) Repeated-Word, Different-Case (e.g. bush-BUSH);

270 (4) Repeated-Word, Same-Case (e.g. DIRT-DIRT).

271

272 Each type of presentation occurred 20 times. Thus, the total number of trials with an SOA of 64 ms was

273 80.

274

275 *Long SOA.* The sequence of events for the long-SOA task was like the one used in the naming task: (1)

276 fixation for 3,000 ms; (2) blank for 80 ms; (3) mask for 80 ms; (4) prime for 32 ms; (5) mask for 80 ms;

277 (6) blank for 80 ms; and finally (7) target until response (Figure 2b). The SOA was therefore 192 ms

278 between the onset of the prime and the onset of the target. Like the short-SOA task, there were four

279 possible presentations of 20 trials each that could either differ or not in word identity or case. The total

280 number of trials with an SOA of 192 ms was 80.

281

282 *Statistical analyses*

283

284 The data were analysed using Jamovi software (The Jamovi Project; Sydney, New South Wales,

285 Australia) with the gamlj module, Statistical Package for Social Science (SPSS) version 25 (IBM

286 Corporation; Armonk, New York, USA) and GraphPad Prism version 6 (GraphPad Software Inc.; La

287 Jolla, California, USA). Like the study by Dehaene et al. (2001), we examined accuracy to visible and

288 masked words in the naming task in a descriptive manner. For the recognition task, we examined

289 accuracy and RT for categorising the masked and distractor words with paired-samples *t*-tests. For the

290 priming tasks, RT from error trials and outliers were excluded (< 200 ms and > 2,000 ms). Together,

291 these criteria resulted in a loss of 3.8% of the data with 3080 remaining observations. From there, an

292 analysis based on a general linear model was carried out. The model had case congruency (same vs.

293 different), word repetition (repeated vs. unrepeated), and SOA (short vs. long) as fixed factors and

294 participant and item as random factors. These random factors were included in the model to remove all

295 effects driven by participants and items. Participant accuracy was not compared between conditions as
296 mean performance was at ceiling level (96-99%).

297

298 **Results**

299

300 *Naming and recognition tasks*

301

302 Participants correctly identified 98.69% of visible words but not a single masked word (0%). For the
303 recognition task, participants correctly categorised 78.21% of the visible words presented earlier in the
304 naming task (range = 47.50% to 97.50%). Conversely, participants recognised only 10.48% of the
305 masked words. This detection rate did not differ from the 10.71% commission errors that were made to
306 the distractors ($t_{(19)} = 0.43, p = .672$). RTs to the masked and distractor words also did not differ
307 (1,118.04 ms and 1,113.77 ms, respectively; $t_{(19)} = 0.11, p = .915$). The results from these tasks
308 demonstrate that primes could not be detected under masking conditions and that masked words were
309 treated just like words that had never been presented before.

310

311 *Priming tasks*

312

313 The analysis revealed a main effect of word repetition such that participant RTs were faster when the
314 prime and target were the same word compared to when they were different words ($F_{(1, 297)} = 4.32, p$
315 $= .039$). This main effect demonstrates that there was a subliminal word-priming effect. There was
316 also a main effect of case congruency, which revealed that the same case prime-target pairs were
317 categorised faster than the different case prime-target pairs ($F_{(1, 297)} = 7.63, p = .006$). This main effect
318 demonstrates that word case also influenced RTs. There was a facilitation effect when the same case
319 was repeated. There was no interaction between word repetition and case congruency ($F_{(1, 636)} = 0.37,$
320 $p = .541$). The lack of an interaction confirms that word priming is case insensitive. The main effect of
321 SOA on RTs was also significant ($F_{(1, 636)} = 7.53, p = .005$), which showed that RT was slower in the

322 short compared to long SOA condition. None of the other interactions were significant either (all $\geq p$
323 = .087). (see Table 1 for means and standard errors in each of the priming task conditions).

324

325 **Discussion**

326

327 We had two aims in the present investigation. First, we aimed to re-examine if word priming is case-
328 insensitive under subliminal conditions, as originally reported by Dehaene et al. (2001). Second, we
329 aimed to test if subliminal priming effects can be observed with an SOA longer than 100 ms. We
330 observed case-independent word priming, as participants were faster to classify targets when they were
331 the same word as the prime even when the case was different. However, there was also a main effect of
332 case, which Dehaene et al (2001) did not report. That is, the same case trials were categorised faster
333 than the different case trials regardless of whether the target was a repeated or non-repeated word.
334 Finally, we found a main effect of SOA, where participants were slower to categorise targets in the
335 short rather than long SOA conditions (short SOA $M = 783 < \text{long SOA } M = 808$). Importantly, SOA
336 did not interact with any other factor, demonstrating that subliminal priming did not differ between
337 short and long SOAs. As discussed below, these results are informative to understanding subliminal
338 word priming as well as future experimental designs.

339 The main effect of case does not undermine notions that subliminal word priming is case-
340 independent. To explain, participants were clearly able to encode word identity *independent of case*.
341 Take the short-SOA condition as an example (see Table 1): word repetition-priming was present on
342 same case (repeated word $M = 774 < \text{non-repeated word } M = 818$) and different case (repeated word M
343 = 805 $< \text{non-repeated word } M = 836$) trials. This illustrates that participants were primed with word
344 identity even when the words physical appearance differed due to case (e.g., raft-RAFT). If word-
345 identity priming *was* case dependent, then priming effects should only be evident on same case
346 (repeated $< \text{non-repeated}$) and not on different case (repeated $\neq \text{non-repeated}$) trials. Instead, a visual
347 facilitation effect driven by letter case occurred simultaneously with identity priming. To use the short-
348 SOA condition as an example again: there was a case processing advantage on repeated word (same

349 case $M = 774 <$ different case $M = 805$) and non-repeated word (same case $M = 818 <$ different case M
350 $= 836$) trials. If case was irrelevant then there should be no same case advantage and the RT's similar
351 (same case \neq different case). Dehaene et al. (2001) do not appear to have observed the case effect, or if
352 they did, it was not reported. We speculate that two distinct forms of facilitation were present that
353 explain the two main effects: conceptual and perceptual facilitation.

354 Conceptual facilitation refers to the facilitation in processing the meaning of stimuli,
355 independent of physical properties, whereas perceptual facilitation refers to the facilitation in processing
356 the physical features of stimuli, independent of meaning (Chouinard, Morrissey, Köhler, & Goodale,
357 2008; Henson, 2003). The main effects of word repetition and case could reflect conceptual and
358 perceptual facilitation, respectively. The former is in line with many other studies demonstrating that
359 word identity can be processed under subliminal viewing conditions (for a review, see Kouider &
360 Dehaene, 2007). Nothing new can be inferred from this result. What deviates from current thinking is
361 that case, despite being task-irrelevant and not receiving task related attention, can also exert a strong
362 influence on target processing. This highlights that feature-specific visual encoding affects subliminal
363 facilitation and not just high-level perception of word identity.

364 This interpretation of two separate forms of facilitation fits well with the view that two separate
365 computational systems operate in parallel to encode the visual form of words (Marksolek, Kosslyn, &
366 Squire, 1992; Marsolek, 2004). Marsolek and colleagues' postulate that one system processes *form-*
367 *specific* information while the other processes *abstract-category* information. Abstract-category word
368 processing is synonymous with notions of the word form unit developed by Dehaene and colleagues
369 (Dehaene & Cohen, 2011). As discussed in the Introduction, this describes how a reader can efficiently
370 generalise across word appearance to recognise identity. Neuroimaging studies show that this stage of
371 processing involves the VWFA (McCandliss et al., 2003). The logic behind form-specific encoding of
372 word forms is simple: information about how a word looks must be encoded by the brain, otherwise
373 how else can we recognise form-specific information like a friend's handwriting or differentiate
374 between various fonts?

375 Neuroimaging techniques show that the extrastriate area in the posterior right hemisphere is
376 specialised in processing the typographic features of words (Posner & McCandliss, 1993). For instance,

377 an increased neural response in this area is observed when a variety of word-like stimuli are seen (e.g.,
378 words, nonsense letter strings, and visual stimuli like letters) (Posner & McCandliss, 1993). Moreover,
379 attending to visual attributes like letter thickness or case amplifies activation in this area (Compton,
380 Grossenbacher, Posner, & Tucker, 1991). Thus, the abstract-category subsystem is thought to operate
381 more effectively in the left hemisphere and is associated with higher-order areas like the VWFA,
382 whereas the form-specific subsystem is thought to operate more effectively in the right hemisphere and
383 is associated with activity in earlier visual areas. This fits with the well-documented architecture of the
384 ventral occipito-temporal cortex (VOTC), in which there is a posterior-to-anterior gradient reflecting
385 the processing of information that is more related to basic features to those that are more complex
386 (Lochy et al., 2018).

387 However, even though form-specific information is an integral part of word processing, the role
388 that case and other factors like word length have on recognition has not been as widely tested. Research
389 from De Moor and Brysbaert (2000) reported stronger priming effects in a masked priming lexical
390 decision task when primes and targets were the same length compared to when they differed in length.
391 In addition, there were twice as many errors when the prime and target differed in length compared to
392 when they did not. Thus, the proper matching of word length in the present study may have been another
393 factor that contributed to the form specific priming observed. Only three other studies have reported an
394 effect of case like the one observed in our study (Hayman & Jacoby, 1989; Marsolek et al., 1992;
395 Masson, 1986). The generalisability of their results to our study is limited due to discrepancies in task
396 and design. Namely, they involved familiarising participants with prime words in a practice phase that
397 was followed shortly after by a test phase, they used different paradigms (i.e., word-stem completion
398 task, Marsolek, et al., 1992; perceptual identification task, Hayman & Jacoby, 1989; reading task,
399 Masson, 1986), and they did not attempt to mask or limit the visibility of primes. The present study is
400 the first to our knowledge to demonstrate an effect of word case on target processing under truly
401 subliminal conditions.

402 It is important to verify that primes are processed under truly subliminal conditions when
403 examining subconscious processing. As discussed in the Introduction, other studies have tested and
404 shown that low-level features, like word case, do not influence target processing under some masking

405 conditions. For example, Vergara-Martínez, Gomez, Jimenez, & Perea (2015), like many others
406 (Jacobs, Grainger, & Ferrand, 1995; Perea, Jiménez, & Gómez, 2014; Perea, Vergara-Martínez, &
407 Gómez, 2015), have demonstrated that same case prime-target word pairs are not categorised faster than
408 different case prime-target word pairs when prime visibility is limited. However, these studies lacked
409 the necessary control measures to be confident that the primes were truly subliminal. In the present
410 study, the results of our naming and recognition tasks means that we can be more confident that the
411 primes were adequately masked from participants' awareness. Not a single word could be identified
412 under our masking conditions and the masked words were treated like words that had never been
413 encountered before when presented again as visible targets. Thus, relative to the above masking
414 experiments, we are in a better position to make inferences about subconscious processing.

415 We think the case effect could be partially explained by a lack of feedback from higher levels
416 of processing that are known to characterise subliminal perception (Dehaene, Changeux, Naccache,
417 Sackur, & Sergent, 2006; Lamme & Roelfsema, 2000). Interactive models of word processing
418 demonstrate that higher-order processing of lexical-semantic word properties modulates low-level
419 processing of perceptual-orthographic word properties in a top-down manner (Carrieras et al., 2014).
420 Neuroimaging data supports this model as considerable feedforward and feedback connections exist
421 between low-level (i.e., early visual areas) and high-level (i.e., VWFA) areas within the VOTC (Kravitz,
422 Saleem, Baker, Ungerleider, & Mishkin, 2013). A possible implication of this feedback, which occurs
423 normally under typical viewing conditions, is to prevent the physical features of words from interfering
424 with the processing of their conceptual meaning.

425 However, under visual masking, when there is no awareness of stimuli, the initial feedforward
426 processing is preserved but there is no further integration with feedback or recurrent processing
427 (Dehaene et al., 2006; Lamme & Roelfsema, 2000). So, although the feedforward 'sweep' reaches the
428 VWFA (Dehaene et al., 2001), the capacity for high-level processing to feedback and modulate
429 processing in the posterior parts of the VOTC is limited. Thus, we speculate that lexical processing
430 supersedes perceptual processing to a lesser degree when this feedback is not permitted to occur
431 normally, such as under our masking conditions. Consequently, here we observed both abstract-
432 category and form-specific priming. The main effect of case calls attention to the importance of

433 controlling for the visual properties of word stimuli in subliminal priming studies. To be clear, we do
434 not think that the main effect of case negates any high-level interpretations of subliminal word
435 processing, but rather highlights that perceptual properties also influence subliminal perception
436 simultaneously. Future research could also examine the robustness of the case effect by testing if the
437 same effect arises for semantically related prime-target pairs in a category-priming study rather than the
438 repetition-priming design used in present investigation.

439 The other notable finding in the present study concerns the overall reactions to the two SOAs.
440 On average, participants were slower to categorise target words in the short compared to the long SOA
441 condition (short SOA $M = 783 < \text{long SOA } M = 808$). The reason for the slower reaction time is unclear.
442 We can only speculate that this may reflect some kind of interference from subliminal processing of the
443 prime in the short SOA condition. Nonetheless, the lack of interaction between SOA and any other
444 factor highlights that there were no differences in priming between the short and long SOAs. This calls
445 into question the notion that SOAs longer than 100 ms are too long for subliminal priming to occur, as
446 suggested originally by the Greenwald et al. (1996) study. Differences in methodology may explain the
447 discrepancy. A key feature in the Greenwald et al. (1996) study was to force participants to respond
448 within a short-time window of 383-517 ms. As acknowledged by the authors, mean response latencies
449 for highly motivated participants is typically between 550 and 650 ms. Consequently, this response
450 window did not permit high levels of accuracy and the priming effect was inferred by the substantial
451 error rates rather than response latencies. This methodological change that was implemented by
452 Greenwald et al. (1996) does not appear to have been widely adopted, as later work typically infers
453 priming from RTs rather than error rates. Thus, we think it is unreasonable to assume that interpretations
454 drawn from the Greenwald et al. (1996) study must also apply to studies using more conventional
455 designs. In fact, similar to the present investigation, several category-priming studies (Armstrong &
456 Dienes, 2013; Berkovitch & Dehaene, 2019; Kiefer & Spitzer, 2001; Ortells et al., 2016) have found
457 priming with SOAs longer than 100 ms from RTs and did not restrict responses to short-time windows.
458 For these reasons, we believe that the residual effects of a subliminal prime can still be detected with
459 an SOA beyond 100 ms.

460 There are aspects to our experimental design that need to be considered too. The mask duration
461 between the prime and targets in the short and long SOA were not the same. The former (32 ms) was
462 shorter than the latter (80 ms), which is suboptimal for comparing the two. Even so, it is still remarkable
463 that the longer mask in the SOA condition did not reduce priming, further undermining the notion that
464 priming cannot occur at SOAs longer than 100 ms. Another point to consider is the number of
465 participants per condition for the purposes of statistical analysis. To enhance the design in future studies
466 it would be ideal to have 40 participants and 40 observations per condition (Brysbaert & Stevens, 2018).
467 Despite these limitations, the present study replicated an important experimental finding and has
468 highlighted important methodological considerations for future experiments examining the subliminal
469 word priming effect.

470

471

472 **Appendix**

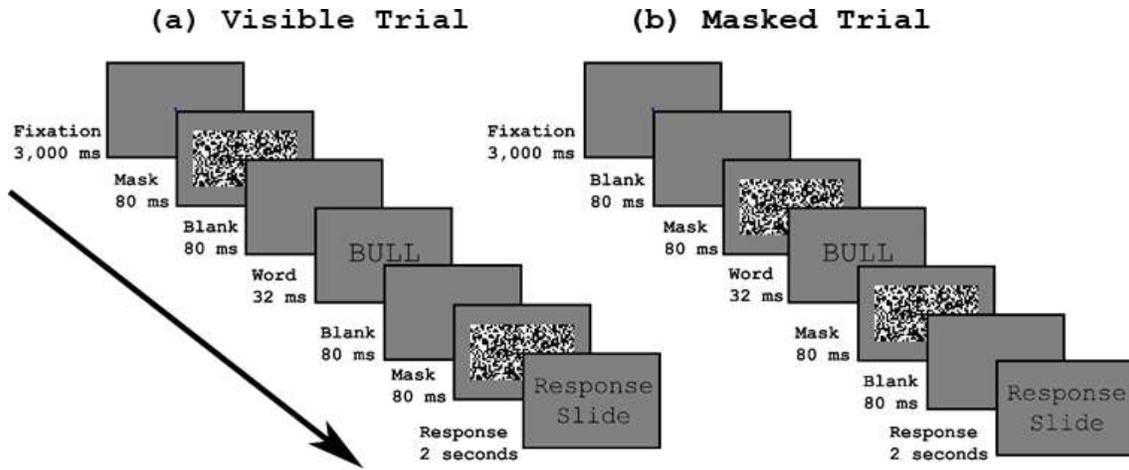
473

474 *List of Words in Set A:* kiosk; ladle; silo; sash; wharf; spade; patio; harp; rake; ruler; arena; spear; rail;
475 sewer; torch; café; sofa; plaza; cloth; razor; shelf; spoon; hose; yacht; bench; coin; glove; shed; tools;
476 medal; vault; robot; cigar; blade; toys; brush; rifle; fence; flag; tent; socks; steel; boots; purse; cage;
477 cable; rope; tower; belt; doll; tank; bike; sword; bread; gate; toast; mail; paint; coat; drug; petal; gully;
478 dingo; otter; twig; llama; sloth; husky; snail; pansy; vine; moth; coral; squid; chimp; fern; lime; cobra;
479 berry; poppy; grape; finch; melon; crow; camel; leaf; toad; peach; mice; mule; seed; soil; deer; creek;
480 worm; whale; puppy; frog; hawk; goose; daisy; cave; corn; grass; tiger; cliff; snake; apple; coast; wood;
481 plant; snow; lake; bird; river; beach; fish; rock; horse; water.

482

483 *List of Words in Set B:* cake; desk; bell; roof; shoe; wire; taxi; deck; bowl; pipe; mall; sink; fort; soap;
484 barn; lamp; pole; pill; dish; boot; jeep; oven; cord; pier; comb; tomb; raft; vase; tyre; pram; candy;
485 wheel; plate; piano; couch; trash; chain; cabin; motel; wagon; drill; motor; brick; skirt; porch; arrow;
486 stove; jeans; ferry; broom; scarf; crate; canoe; linen; apron; flute; stair; flask; canon; tongs; land; tree;
487 bear; rose; hill; bull; dirt; duck; wolf; lion; bush; lamb; goat; pony; tuna; crab; swan; slug; peas; reef;
488 clam; hare; plum; moss; boar; wasp; pear; earth; stick; stone; storm; ocean; fruit; bunny; shark; sheep;
489 shell; lemon; cloud; eagle; swamp; olive; wheat; flood; moose; onion; trout; skunk; maple; herbs; zebra;
490 panda; rhino; mango; stork; hyena; hippo; gorge; pecan; tulip.

491

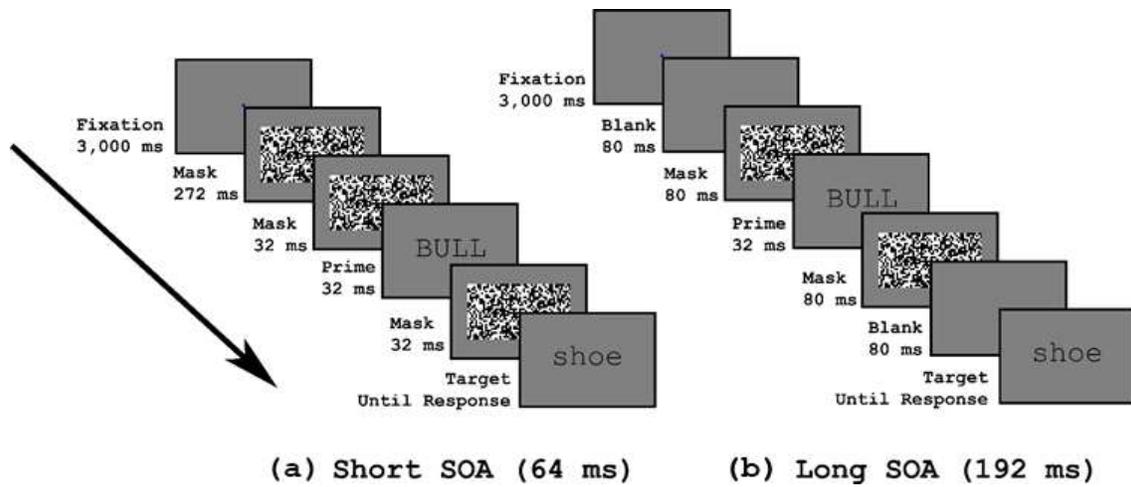


492

493 *Figure 1.* Visual depiction of the (a) visible and (b) masked trials. On visible trials, the words had two
 494 blank screens (as opposed to masks) flanking their presentation. Under these presentation parameters,
 495 it was expected that participants would be consciously aware of the words. In contrast, on masked trials,
 496 the presence of two masks consisting of visual noise (as opposed to blank screens) flanked the words,
 497 rendering the latter perceptually invisible.

498

499

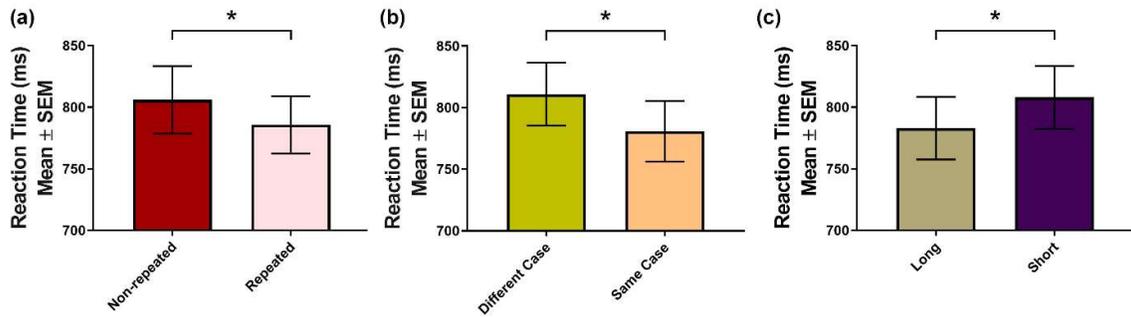


500

501 *Figure 2.* Visual depiction of the short (a) and long (a) SOA conditions. Both a and b depict a non-
 502 repeated word/different-case trial. The prime is ‘BULL’ from the natural semantic category and is in
 503 uppercase while the target is ‘shoe’ from the man-made category and is in lowercase.

504

505



506

507 *Figure 3.* The figure depicts the three main effects that were significant from the analyses. Panel (a)
 508 displays a main effect of word congruency, which demonstrates subliminal priming. Panel (b) displays
 509 the main effect of case congruency, which demonstrates the influence of case in subliminal word
 510 processing. Panel (c) displays the main effect of SOA, which shows that participants were slower overall
 511 on the short compared to long SOA conditions. Asterisks (*) denote significant effects at $p < .05$.

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